

# Enrollment/Change Form



Thank you for choosing Empire. Please fill out all items in order for us to quickly and accurately process your enrollment. Once you've completed this form, please sign in the space provided in Section 7.

Applicant name (last, first, M.I.)  
 \_\_\_\_\_

## 1. REASON FOR ENROLLMENT/CHANGE (COMPLETE SECTION A, B OR C)

### A. NEW ENROLLMENT/ADDITION (FILL IN ONE BOX ONLY)

New hire (Proof of employment is necessary for applicants in companies with 50 or fewer employees. Please submit NYS-45, payroll records or W-4 forms to establish employment.)

Date of change (MMDDYYYY)  
 \_\_\_\_\_

Open enrollment

Status change (fill in one box)

Marriage  Newborn  Adoption  Retirement

Medicare eligible (answer questions below)

Eligibility criteria (fill in one box only)

Age 65+  Disability  End stage renal disease

Active employee

Yes  No

Electing company coverage as primary coverage?

Yes  No

Electing Medicare-related coverage as primary coverage?

Yes  No

(If company size is under 20 employees and end stage renal disease does not apply, you must choose this option)

Part-time to Full-time

Mandatory Right of Election — NYS (qualified dependents must submit required Adult Dependent Election and Eligibility Form)

COBRA/NYS Continuation of coverage Nature of COBRA/NYS event \_\_\_\_\_

Other  
 \_\_\_\_\_

### B. CHANGE (FILL IN ALL BOXES THAT APPLY)

For all boxes filled in below, please supply new information in Section 3.

Name

Address

Primary Care Physician (PCP)

(HMO/Direct HMO/Direct POS/Empire POS plans only)

Managed Dental Primary Care Dentist (PCD)

(If your company offers an Empire Dental plan)

### C. CANCEL COVERAGE (FILL IN ONE BOX ONLY)

Note: If you are canceling your own coverage, please have your employer fill out an Employee Termination Form. For other cancellations, please fill in the appropriate box below and enter the name in the Spouse/Dependent portion in Section 3.

Spouse/Dependent

Death

Divorce

Dependent no longer eligible

Date of event (MMDDYYYY)  
 \_\_\_\_\_

Other  
 \_\_\_\_\_

## 2. BENEFITS SELECTION

Medical Insurance<sup>1</sup> (fill in one box only)

Direct HMO

HMO

Empire Total Blue<sup>SM</sup> Choice (HSA)

Empire Prism<sup>SM</sup> EPO

Large group only

EPO  PPO

DPOS  DSPOS

Empire Total Blue<sup>SM</sup> Choice (HRA)

Empire Prism<sup>SM</sup> PPO

Small group only

Value EPO

Empire POS

Empire PPO

Empire PPO Plus

Empire EPO Stepped

Empire EPO Essential

Indemnity:

Hospital/Medical or  Hospital Only  Other

Coverage type (fill in one box only)

Individual  Employee/Spouse  Parent/Child(ren)  Family

Dental Insurance<sup>2</sup> (fill in one box only)

PPO Dental  Managed Dental  Voluntary Dental  Other Dental

Coverage type (fill in one box only)

Individual  Employee/Spouse  Parent/Child(ren)  Family

Vision Insurance<sup>3</sup> Blue View Vision<sup>SM</sup>

Coverage type (fill in one box only)

Individual  Employee/Spouse  Parent/Child(ren)  Family

<sup>1</sup> Empire will facilitate the opening of a Health Savings Account in your name, as directed by your Employer.

<sup>2</sup> If your company offers an Empire Dental Plan.

<sup>3</sup> If your company offers a Blue View Vision plan.



### 3. APPLICANT AND SPOUSE/DOMESTIC PARTNER/DEPENDENT INFORMATION

#### APPLICANT

Note: If you've chosen HMO/Direct HMO/Direct POS/Empire POS/DirectShare POS, please provide a primary care physician (PCP) for yourself and for each dependent. Please note that no out-of-network benefits are available to HMO/Direct HMO members except for emergency care. If you've chosen Managed Dental, please provide one Primary Care Dentist (PCD) for you and your dependents.

Last name		First name		M.I.	Social Security no.	
Gender <input type="checkbox"/> Male <input type="checkbox"/> Female		Birthdate (MMDDYYYY)		Marital status <input type="checkbox"/> Married <input type="checkbox"/> Single		Date of marriage (MMDDYYYY)
Place of marriage*		State	Country			
Home address						Apt no.
City					State	ZIP code
Home phone		Daytime phone		Primary language		
Occupation						
PCP Last name		PCP First name		PCP no.	Current patient of PCP? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Primary Care Dentist (PCD) Last name		PCD First name		PCD no.	Current patient of PCD? <input type="checkbox"/> Yes <input type="checkbox"/> No	

SPOUSE  DOMESTIC PARTNER

Last name (if different)		First name		M.I.	Social Security no.	
Gender <input type="checkbox"/> Male <input type="checkbox"/> Female		Birthdate (MMDDYYYY)		Primary language (if different)		
PCP Last name		PCP First name		PCP no.	Current patient of PCP? <input type="checkbox"/> Yes <input type="checkbox"/> No	

#### DEPENDENT 1

Last name (if different)		First name		M.I.	Social Security no.	
Gender <input type="checkbox"/> Male <input type="checkbox"/> Female		Marital status <input type="checkbox"/> Married <input type="checkbox"/> Single		Birthdate (MMDDYYYY)		Primary language (if different)
PCP Last name		PCP First name		PCP no.	Current patient of PCP? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Relationship: <input type="checkbox"/> Child <input type="checkbox"/> FT student** <input type="checkbox"/> Disabled child*** <input type="checkbox"/> Make available age 29 dependent child****						

#### DEPENDENT 2

Last name (if different)		First name		M.I.	Social Security no.	
Gender <input type="checkbox"/> Male <input type="checkbox"/> Female		Marital status <input type="checkbox"/> Married <input type="checkbox"/> Single		Birthdate (MMDDYYYY)		Primary language (if different)
PCP Last name		PCP First name		PCP no.	Current patient of PCP? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Relationship: <input type="checkbox"/> Child <input type="checkbox"/> FT student** <input type="checkbox"/> Disabled child*** <input type="checkbox"/> Make available age 29 dependent child****						



**DEPENDENT 3**

Last name (if different)	First name	M.I.	Social Security no.
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Gender <input type="checkbox"/> Male <input type="checkbox"/> Female	Marital status <input type="checkbox"/> Married <input type="checkbox"/> Single	Birthdate (MMDDYYYY)	Primary language (if different)
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PCP Last name	PCP First name	PCP no.	Current patient of PCP? <input type="checkbox"/> Yes <input type="checkbox"/> No
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Relationship:  Child  FT student\*\*  Disabled child\*\*\*  Make available age 29 dependent child\*\*\*\*

**DEPENDENT 4**

Last name (if different)	First name	M.I.	Social Security no.
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Gender <input type="checkbox"/> Male <input type="checkbox"/> Female	Marital status <input type="checkbox"/> Married <input type="checkbox"/> Single	Birthdate (MMDDYYYY)	Primary language (if different)
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PCP Last name	PCP First name	PCP no.	Current patient of PCP? <input type="checkbox"/> Yes <input type="checkbox"/> No
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Relationship:  Child  FT student\*\*  Disabled child\*\*\*  Make available age 29 dependent child\*\*\*\*

\*Marriage must have been entered into in a jurisdiction that recognizes its validity.  
 \*\*Child must exceed contractual dependent age and attend accredited college or university. Submit proof with this form. Proof is required annually.  
 \*\*\*Please submit Request for Disabled Child form (HAC506) with this form; child age must exceed contractual dependent age.  
 \*\*\*\*Qualified dependents must submit the required Adult Dependent Eligibility Form.

**4. OTHER COVERAGE INFORMATION****APPLICANT**

Do you currently have or have you had health insurance in the past 11 months?  Yes  No (if no continue to Spouse/Dependent(s) section below)

Has the coverage been continuous during the past 11 months?  Yes  No

Will your current group insurance remain in effect after you enroll in this Empire plan?  Yes  No

Name of other insurance carrier	Your ID no. from other carrier
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Coverage provided by employer?  Yes  No

Contract type: <input type="checkbox"/> Employee/Spouse <input type="checkbox"/> Family	<input type="checkbox"/> Individual <input type="checkbox"/> Parent/Child(ren)	Employment status <input type="checkbox"/> Active <input type="checkbox"/> Retired	Coverage type: <input type="checkbox"/> Hospital only <input type="checkbox"/> Hospital/Medical <input type="checkbox"/> Medical only <input type="checkbox"/> Other
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**SPOUSE/DEPENDENT(S)**

Does your spouse/dependent(s) currently have or have they had health insurance in the past 11 months?  Yes  No (if no continue to section 5)

Has the coverage been continuous during the past 11 months?  Yes  No

Will their current group insurance remain in effect after you enroll in this Empire plan?  Yes  No

My spouse has or has had the same coverage as I. *Note: You do not need to fill out the rest of the spousal other coverage questions.*  
 My dependents have or have had the same coverage as I. *Note: You do not need to fill out the rest of the dependent other coverage questions.*

 **SPOUSE**  **DOMESTIC PARTNER**

Name of Spouse's other insurance carrier	ID no.
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Coverage start date (MMDDYYYY)	Coverage end date (MMDDYYYY)
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Coverage provided by employer?  Yes  No

Contract type: <input type="checkbox"/> Employee/Spouse <input type="checkbox"/> Family	<input type="checkbox"/> Individual <input type="checkbox"/> Parent/Child(ren)	Employment status <input type="checkbox"/> Active <input type="checkbox"/> Retired	Coverage type: <input type="checkbox"/> Hospital only <input type="checkbox"/> Hospital/Medical <input type="checkbox"/> Medical only <input type="checkbox"/> Other
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**DEPENDENT 1**

Name of dependent's other insurance carrier												ID no.			
Coverage start date (MMDDYYYY)						Coverage end date (MMDDYYYY)									
Coverage provided by employer? <input type="checkbox"/> Yes <input type="checkbox"/> No						Employment status <input type="checkbox"/> Active <input type="checkbox"/> Retired									
Contract type: <input type="checkbox"/> Employee/Spouse <input type="checkbox"/> Individual <input type="checkbox"/> Family <input type="checkbox"/> Parent/Child(ren)						Coverage type: <input type="checkbox"/> Hospital only <input type="checkbox"/> Hospital/Medical <input type="checkbox"/> Medical only <input type="checkbox"/> Other									

**DEPENDENT 2**

Name of dependent's other insurance carrier												ID no.			
Coverage start date (MMDDYYYY)						Coverage end date (MMDDYYYY)									
Coverage provided by employer? <input type="checkbox"/> Yes <input type="checkbox"/> No						Employment status <input type="checkbox"/> Active <input type="checkbox"/> Retired									
Contract type: <input type="checkbox"/> Employee/Spouse <input type="checkbox"/> Individual <input type="checkbox"/> Family <input type="checkbox"/> Parent/Child(ren)						Coverage type: <input type="checkbox"/> Hospital only <input type="checkbox"/> Hospital/Medical <input type="checkbox"/> Medical only <input type="checkbox"/> Other									

**DEPENDENT 3**

Name of dependent's other insurance carrier												ID no.			
Coverage start date (MMDDYYYY)						Coverage end date (MMDDYYYY)									
Coverage provided by employer? <input type="checkbox"/> Yes <input type="checkbox"/> No						Employment status <input type="checkbox"/> Active <input type="checkbox"/> Retired									
Contract type: <input type="checkbox"/> Employee/Spouse <input type="checkbox"/> Individual <input type="checkbox"/> Family <input type="checkbox"/> Parent/Child(ren)						Coverage type: <input type="checkbox"/> Hospital only <input type="checkbox"/> Hospital/Medical <input type="checkbox"/> Medical only <input type="checkbox"/> Other									

**DEPENDENT 4**

Name of dependent's other insurance carrier												ID no.			
Coverage start date (MMDDYYYY)						Coverage end date (MMDDYYYY)									
Coverage provided by employer? <input type="checkbox"/> Yes <input type="checkbox"/> No						Employment status <input type="checkbox"/> Active <input type="checkbox"/> Retired									
Contract type: <input type="checkbox"/> Employee/Spouse <input type="checkbox"/> Individual <input type="checkbox"/> Family <input type="checkbox"/> Parent/Child(ren)						Coverage type: <input type="checkbox"/> Hospital only <input type="checkbox"/> Hospital/Medical <input type="checkbox"/> Medical only <input type="checkbox"/> Other									

**5. MEDICARE INFORMATION (FOR MEDICARE ELIGIBLE ONLY)**

Please provide a copy of your Medicare (HIB) card. If a copy is not attached, we cannot process your Medicare benefits request.

I understand that if I become Medicare eligible while I am covered under this contract, any benefits I am entitled to under this contract will be reduced by any amounts paid by Medicare for those services, whether or not I apply for or submit a claim to Medicare.

Applicant last name				First name				M.I.		Medicare ID no.			
HIB Suffix				Part A coverage start date				Part B Medical coverage start date					
Spouse/Dependent's last name (if different)				First name				M.I.		Medicare ID no.			
HIB Suffix				Part A coverage start date				Part B Medical coverage start date					

**6. EMPLOYER INFORMATION (THIS SECTION MUST BE FILLED IN BY YOUR GROUP BENEFITS ADMINISTRATOR.)**

Group name	Group no.	Group Sub no.
Address		
City		State
Employee no.		Applicant's start date of full-time employment
Payroll/Department location		
ZIP code		

**7. APPLICANT SIGNATURE (I HAVE READ THE CERTIFICATION AND FRAUD STATEMENT BELOW.)**

I certify that I am electing coverage as an employee, or former employee, retiree, current or former dependent of an active employee, or retiree, and am eligible for group coverage under the terms and conditions of the group's contract. I make this election on behalf of all eligible dependents and myself. I understand that I am under a continuing obligation to notify the group of a change in my, or my dependent's, status; such change may result in a change of insurance status with Empire and that failure to make such notification may result in cancellation of the coverage by Empire. Any other Empire coverage will end upon issuance of this coverage. If I do not agree to transfer my other coverage with Empire to this coverage, I understand that this application will not be accepted by Empire.

I authorize any health care provider, health care payor or government agency to furnish to Empire or its designee all records pertaining to medical history, services rendered, and payments made regarding me or my dependents for use by Empire to administer the terms of my health benefits contract. I also authorize Empire to disclose such information to an Empire designee, my PCP and other providers, other payors, and the group contract holder, for purposes of continuity of care and medical management, disease management, health benefits contract administration, financial audits, and as otherwise required by law. All statements and answers in this notice of election are true and are representations made to induce the issuance of the coverage. Any material misrepresentation may result in Empire's cancellation of coverage.

**Insurance Fraud Statement:** Any person who knowingly and with intent to defraud an insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any material fact there to, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed \$5,000 and the stated value of the claim or each such violation.

Applicant signature <b>X</b>	Print name	Date
Authorized Group Benefits Administrator signature <b>X</b>	Print name	Date

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